

## Notification & Declaration of Fund Deposit 入款通知及声明书

**TO: CMB International Securities Limited**
**致：招銀國際證券有限公司**

本人/本公司，以下签署者，谨此授权以下第三方存款人存款至 贵公司的账户 I / We, the under-signed, hereby authorize the originator as stated below to deposit into the account of your company:

帐户号码 Account No. _____	账户名称 Account Name _____
第三方存款人 Originator _____	存款日期 Deposit Date _____
存款货币* Deposit Currency* _____	存款金额* Deposit Amount* _____
存款至 CMB INTERNATIONAL SECURITIES LTD - CLIENT ACCOUNT 与第三方存款人关系 Relationship with Originator _____	银行名称 Bank Name _____
第三者存款原因 Reasons of third party Deposit _____	银行账号 Bank A/C No. _____

为了遵守对证券及期货事务监察委员会发出《有关防止洗黑钱及恐怖分子集资活动的指引》，本人/本公司，以下签署者，谨此声明上述账户的款项来自或汇款目的并非为恐怖分子集资活动、涉嫌从贩毒所得、及/或组织及严重罪行的得益。For compliance with "Prevention of Money Laundering and Terrorist Financing Guidance Note" issued by Securities and Future Commission, I/we, the under-signed, hereby declare and undertake: funds are not sourced from or the remittance is not made for terrorist financing activities; proceeds of drug trafficking; and/or proceeds of organized and serious crimes.

本人/本公司声明及同意承担任何可能因此存款，特别是经第三者转账/存款，而引致之争议、损失、责任及有关风险。在不局限前文的概括性原则下，本人/本公司了解并进一步同意：(1) 第三方存款需经贵公司审批，贵公司可拒绝执行有关指示而毋须给予任何理由；及(2) 审批需时或致入账延误。本人/本公司同意贵公司因上述任何情况而引起对本人/本公司及存款人之任何损失（如有）概不负责。I/We hereby declare and undertake to bear possible arguments, losses, responsibilities and pertinent risks associated as a result of this deposit instruction, especially transfer/deposit from third party and declaration. Without limiting the generality of the foregoing, I/We acknowledge and further agree that: (1) the third party deposit shall be subject to approval by your company and your company shall be entitled to refuse the execution of the instruction without any reason; and (2) the third party deposit may be delayed due to such approval process. I/We agree that your company shall not be responsible for any loss, if any, caused to me/us and the originator arisen from any of the aforementioned circumstances.

客户签署 Client's Signature \_\_\_\_\_ 日期 Date \_\_\_\_\_

\* 如存款人属于第三者，必须填写此格及提交此表格的正本；否则，入款指示不被接受。If the originator is a third party, this form must be completed and deliver the original copy to us; Otherwise, deposit is not considered.

\*如存款金额等于或超过等值港币 5,000,000 元，将由客服致电核实有关入款方可存入。If the deposit amount is equivalent to HKD 5 million or above, our customer service will contact you for confirmation.

<b>For Official Use Only</b>			
Audio Time:		Audio Line:	
AE/CRM	RO Approval	Compliance Approval	Senior Management Approval
Back Office (Settlement)			
S.V. By	Input By	Checked By	Approved By