

Bond/Notes Redemption Form 債券/票據贖回表格

Account Name 帳戶名稱	Account Number 帳戶號碼
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Details of Account holder who conducted the transaction 交易戶主資料				
Name 姓名			Contact Number 聯絡電話	
Redemption Details 贖回詳情				
Bonds/Notes Code 債券/票據編號		Bonds/Notes Name 債券/票據名稱		
No. of Redemption Units 贖回單位數目	Amount per Bonds/Notes 債券/票據數目	Accrued Interest 累計利息	Commission/Handling Fee 佣金/手續費	Total Settlement Amount 總交收金額
Declaration and Signature(s) 聲明及簽署				
<p>1. CMB International Securities Limited ("CMBIS") has not advised or recommended me/us to sell the Product or any other investment product and it is my/our decision to sell this Product after careful consideration. CMBIS has answered my/our queries in relation to this Product and this application. 招銀國際證券有限公司（招銀證券）沒有建議或推薦本人/吾等沽售此產品或任何其他投資產品，而沽售此產品是本人/吾等過仔細考慮後的獨立決定。招銀證券已回答了本人/吾等對有關此產品及此申請之查詢。</p> <p>2. I/We confirm that I/We request and authorize CMB International Securities Limited ("CMBIS") to sell above Bonds/Notes on my/our behalf. Sale proceeds and the related charges (if any) will be settled via my/our settlement account. 本人/吾等要求及授權招銀證券代本人/吾等沽售上述債券/票據，沽售款項及有關費用（如有）將透過本人/吾等之戶口結算。</p> <p>3. I/We confirm that I/We have read and understand the terms and conditions of the Bonds/Notes, application procedures and the confirmations set out in the offering material of the Bonds/Notes and agree to be bounded by them. 本人/吾等已經閱讀及明白債券/票據的條款和條件，及認購檔所載之申請程式及各項認章節，並同意受其約束。</p> <p>4. I/We understand that the price of bonds/notes and the income from the bonds/notes can go down as well as up, and in certain circumstances the investor's right to redeem may be restricted. 本人/吾等明白債券/票據的價格及自債券/票據所獲取的收益可升可跌，在若干情況下，投資者的贖回權利或會受到限制。</p> <p>5. CMBIS may receive monetary benefits in this "back-to-back bond sell transaction". 招銀證券或會從此「背對背」債券沽售交易取得金錢收益。</p> <p>6. I/We understand that redemption orders received by CMBIS on a non-dealing day or after dealing cut-off time (i.e. 4:45 pm of every dealing day) or such other cut-off time specified by CMBIS will only be processed on the next dealing day. 本人/吾等明白在非交易日或截數時間（即每一個交易日的下午 4 點 45 分）後或招銀證券指定的該等其他截數時間後接獲的贖回指示只會在下一個交易日處理。</p> <p>7. I/We understand that all instructions given may not be amended, rescinded or withdrawn without the consent of CMBIS. 本人/吾等明白，除已獲得招銀證券的同意外，所有已給予的指示均不能更改、撤銷或撤回。</p> <p>8. In case of inconsistencies between the English and Chinese version of this Form, the English version shall always prevail. 如本表格的中、英文版本如有歧異，概以英文版本為準。</p>				
Signature of the Client 客戶簽名			Date 日期	

For Official Only 只供內部使用		
Time of Order taken	Audio Date and Reference	Time of Order Executed
	Trader	Trade Date
Account Executive	Reviewer	Review Date