



招商銀行全資附屬機構  
A Wholly Owned Subsidiary Of China Merchants Bank

招銀國際證券有限公司  
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CMB International Securities Limited  
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Hong Kong

## Instruction for Bonds/Notes Purchase Order 債券/票據申購指示

Account Name 帳戶名稱	Account Number 帳戶號碼
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Details of Account holder who conducted the transaction 交易戶主資料				
Name 姓名			Contact Number 聯絡電話	
Transaction Details and Suitability Assessment 交易詳情及適合性檢查				
According to the Last Completed Risk Profile Questionnaire 根據客戶最近於_____完成之《風險取向問卷》中的				
A. Client Risk Profile 風險取向				
<input type="checkbox"/> 1-Conservative 保守型		<input type="checkbox"/> 2-Moderate 穩健型		<input type="checkbox"/> 3-Balanced 均衡型
<input type="checkbox"/> 4-Growth 增長型		<input type="checkbox"/> 5-Aggressive 進取型		
B. Client's Investment Objective 客戶投資目的				
<input type="checkbox"/> Capital Preservation 保本為主		<input type="checkbox"/> Income Oriented 收入主導		<input type="checkbox"/> Income and Growth 收入及增長
<input type="checkbox"/> Growth Oriented 增長主導		<input type="checkbox"/> Aggressive Growth 積極增長		
C. Client's maximum length of expected investment horizon 客戶期望最長的投资年期				
<input type="checkbox"/> Not more than 1 year 不超過 1 年		<input type="checkbox"/> Not more than 3 years 不超過 3 年		<input type="checkbox"/> Not more than 5 years 不超過 5 年
<input type="checkbox"/> More than 5 years 超過 5 年				
Subscription 認購				
1	Bonds/Notes Code 債券/票據編號	Bonds/Notes Name 債券/票據名稱		Investment Notional Amount 投資面額
	Price 價格	Accrued Interest 累計利息	Commission 佣金(%)	Monthly Custodian Fees 每月托管費 0.05% of month end market value 月底市場價值
	Total Settlement Amount 總交收金額			
Suitability Assessment 適合性檢查				Assessment Result 評估結果
A. Product Risk Level 產品風險評級				
<input type="checkbox"/> 1-Low 低風險		<input type="checkbox"/> 2-Low-Medium 中低風險		<input type="checkbox"/> Match 配对
<input type="checkbox"/> 4-Medium-High 中高风险		<input type="checkbox"/> 5-High 高风险		<input type="checkbox"/> Mismatch 不配对
B. Product's Investment Objective 產品投資目標				
<input type="checkbox"/> Capital Preservation 保本為主		<input type="checkbox"/> Income Oriented 收入主導		<input type="checkbox"/> Match 配对
<input type="checkbox"/> Growth Oriented 增長主導		<input type="checkbox"/> Aggressive Growth 積極增長		<input type="checkbox"/> Mismatch 不配对
C. Product's Investment Tenor 產品投資年期				
<input type="checkbox"/> Not more than 1 year 不超過 1 年		<input type="checkbox"/> Not more than 3 years 不超過 3 年		<input type="checkbox"/> Match 配对
<input type="checkbox"/> More than 5 years 超過 5 年		<input type="checkbox"/> Not more than 5 years 不超過 5 年		<input type="checkbox"/> Mismatch 不配对
Concentration Risk 集中性風險				
<input type="checkbox"/> Below 25% 以下		<input type="checkbox"/> 25%-50%		<input type="checkbox"/> Match 配对
		<input type="checkbox"/> Above 50% 以上		<input type="checkbox"/> Mismatch 不配对
2	Bonds/Notes Code 債券/票據編號	Bonds/Notes Name 債券/票據名稱		Investment Notional Amount 投資面額
	Price 價格	Accrued Interest 累計利息	Commission 佣金(%)	Monthly Custodian Fees 每月托管費 0.05% of month end market value 月底市場價值
	Total Settlement Amount 總交收金額			
Suitability Assessment 适合性检查				Assessment Result 评估结果
A. Product Risk Level 产品风险评级				
<input type="checkbox"/> 1-Low 低风险		<input type="checkbox"/> 2-Low-Medium 中低风险		<input type="checkbox"/> Match 配对
<input type="checkbox"/> 4-Medium-High 中高风险		<input type="checkbox"/> 5-High 高风险		<input type="checkbox"/> Mismatch 不配对
B. Product's Investment Objective 产品投资目标				
<input type="checkbox"/> Capital Preservation 保本为主		<input type="checkbox"/> Income Oriented 收入主导		<input type="checkbox"/> Match 配对
<input type="checkbox"/> Growth Oriented 增长主导		<input type="checkbox"/> Aggressive Growth 积极增长		<input type="checkbox"/> Mismatch 不配对
C. Product's Investment Tenor 产品投资年期				
<input type="checkbox"/> Not more than 1 year 不超過 1 年		<input type="checkbox"/> Not more than 3 years 不超過 3 年		<input type="checkbox"/> Match 配对
<input type="checkbox"/> More than 5 years 超過 5 年		<input type="checkbox"/> Not more than 5 years 不超過 5 年		<input type="checkbox"/> Mismatch 不配对
Concentration Risk 集中性風險				
<input type="checkbox"/> Below 25% 以下		<input type="checkbox"/> 25%-50%		<input type="checkbox"/> Match 配对
		<input type="checkbox"/> Above 50% 以上		<input type="checkbox"/> Mismatch 不配对

Acknowledgement of Profile Mismatch 投资组合错配确认

I/We acknowledge that 本人/吾等确认

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the risk rating of the Investment Product is higher than my/our risk profile or not consistent with my/our selected investment strategy; and/or 投资产品的风险类型高于本人/吾等承受风险能力; 及/或

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the investment objective of the Investment Product is not consistent with my/our selected investment strategy or objective; and/or 投资产品的目标有别于本人/吾等选择之投资策略或目标; 及/或

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the investment tenor of the Investment Product is longer than my/our maximum length of expected investment horizon; and/or 投资产品的投资年期长于本人/吾等期望最长的投资年期; 及/或

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I/we would expose to high concentration risk 本人/吾等将承受高度集中性风险。

I/we declare and confirm that I/we fully understand the above mismatch and have reconsidered in these circumstances. It is my/our final decision to accept such mismatch and wish to continue to invest the original amount in the above Product with below justification 本人/吾等在此进一步声明和确认本人/吾等完全理解上述不配对并再三考虑在此情况下, 本人/吾等最终决定接受这种不配对并希望继续投资原本拟定的金额于上述产品, 原因如下: \_\_\_\_\_

Witness Arrangement 见证安排

I/We hereby and confirm that I/we have been accompanied by 本人/吾等谨此声明和确认

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my/our undersigned relative/friend (“Witness”), who is aged between 18 and 64 with education qualification of secondary school or above and I/we have signed the CMBIS’s application form in the presence of the Witness: and/or 在一位年龄介乎 18-64 岁及教育程度达中学或以上的亲友 (「见证人」) 陪同下申请上述产品, 并在见证人面前签署银行的申请表格; 及/或

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have a second CMBIS staff to handle the sales when making application for the above Product. 已由多一位职员处理销售事下作出投资上述产品的申请。

The CMBIS’s licensed intermediary has clearly explained to me/us and the Witness(if any) the nature and key features of, and the risks (including risk rating) associated with the above Product and has answered all queries in relation to the above Product and my/our application from me/us and the Witness (if any). I/We and the Witness (if any) fully understand the nature and key features of and the risks associated with the above Product and agree to proceed with the application. 招银证券的持牌中介人已向本人/吾等及见证人 (如有) 清楚解释上述产品之性质、主要特点及其相关之风险 (包括其风险评级), 并已回答了本人/吾等及见证人 (如有) 所有有关上述产品及本人/吾等的申请的查询。本人/吾等及见证人 (如有) 完全理解上述产品之性质、主要特点及其相关之风险, 并同意进行申请。

Full Name of the Witness 见证人全名	Signature of the Witness 见证人签名
Relationship with Customer 与客户之关系	Date 日期

Reason(s) for Recommendation made by the Intermediary (Mandatory field) 中介人建议原因 (必须填写)

Possible downside risk(s) associated with the Product (Mandatory field) 产品可能面对的下行风险 (必须填写)

(\*Investors should read carefully the Offering Documents (including the section "Risk Considerations") for the relevant risks associated with the Products before investing) 请客户投资前仔细阅读产品说明章程中的风险要素

Customers’ Queries and Response 客户提问及响应

Documentation checklist 文件核对表

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Bonds/Notes Issue & Programme Prospectus 债券/票据发行及计划章程

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Others 其他

I/We confirm that I/we have received and read the latest offering document(s)and financial report(s) (if any) relating to the product purchased to and I/we agree to be bound by the terms and conditions as set out in the offering document(s)(including the Master Terms & Conditions)as amended from time to time. 本人/吾等确认已收妥及阅读有关本人/吾等所认购的产品的最新说明章程及财政报告(如有), 并同意受该等条款约束(包括综合章则及条款)(各档概以最新版本为准)。

Important Notice on Sales Disclosures to Investors 致投资者的销售披露重要通知

Where CMB International Securities Limited ( referred to in this notice as “We”, “Us” or “our”) distributes an investment product, we are required under paragraph8.3A of the code of Conduct for Persons Licensed by or Registered with the Securities and Futures Commission to disclose certain sales related information to you prior to or at the point of sale. This notice forms part of that disclosure and applies where we distribute investment products such as funds (including mutual funds, unit trusts, hedge funds and private equity funds), structured notes, primary offerings of ETFs, bonds and stocks and also applies to secondary market transactions in over –the-counter ( “OTC”) bonds, notes and similar paper. Our representative may refer to this notice when he or she enters into a transaction for you. 根据《证券及期货事务监察委员会持牌人或注册人操守准则》第 8.3A 段的规定, 招银国际证券有限公司(在本通知书中称为“我们”或“我们的”)在向客户分销投资产品时,必须在销售前或销售时向客户披露某些与销售相关的资料.本通知书为此类披露的一部分, 并适用于我们分销的基金(包括共同基金, 单位信托基金,对冲基金和私募股权基金),结构性票据,初次发售的交易所交易基金,债券和股票等投资产品,也适用于场外交易债券, 票据和类似产品等二级市场交易.我们的销售代表在与您进行交易时可能会提及本通知书。

1. Our capacity in various transactions 我们在各类交易中的身份

Where we act as your agent, we enter into the relevant transaction on your behalf. Where we act as principal, we source an investment at your request and then sell it to you.如果我们作为您的代理人,我们将代表您进行相关交易.如果我们作为主事人,我们将根据您的要求选择投资项目,并向您出售。

● Unless we explicitly inform you otherwise, we act as your agent for transactions in funds (including ETFs, mutual funds, private equity and hedge funds), exchange traded instruments ( including listed shares, warrants, IPO shares, and exchange traded bonds )and OTC/non-exchange traded instruments (which will also include any non-exchange traded primary market instruments). OTC/non-exchange traded instruments include structured notes and traditional investments such as OTC bonds, notes and similar paper. 除非我们明确另行通知您, 在基金(包括交易所交易基金,共同基金,私募股权和对冲基金) 交易、交易所交易的工具(包括上市股票, 权证, IPO 新股和交易所交易的债券)和场外交易/非交易所交易工具(还包括任何非交易所交易的一级工具)中,我们作为您的代理人. 场外交易/非交易所交易工具包括结构性票据和场外交易债券, 票据和类似产品等传统投资。

2. Our affiliation with various issuers 我们与各个发行人的隶属关系

We may be affiliated with various issuers of investment products. 我们与各种投资产品的发行人之间可能存在隶属关系

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- CMB International Capital Corporation Limited, CMB International Capital Limited, CMB International Securities Limited, CMB International Asset Management Limited, issuers whose name bears the words “CMBI” or “CMB” are our Group companies. 招银国际金融有限公司,招银国际融资有限公司,招银国际证券有限公司,招银国际资产管理有限公司, 以及其名称包含“招银国际”或“CMB”字样的发行人均属于我们的集团公司。
  - Unless we otherwise inform you, all other issuers are third party issuers which are not our Group companies and are therefore not affiliated with us. CMBI or the Group companies may take on advisory or other administrative roles with issuer entities, for which they may receive remuneration. 除非我们明确另行通知您, 所有其他发行人为第三方发行人, 并不属于我们的集团公司, 因此与我们没有隶属关系。 招银国际证券有限公司或其它集团公司可能担任其它发行人机构的顾问或其他行政角色, 并可能为此收取报酬。
3. Monetary benefits where we distribute an investment product to you 我们向您分销投资产品的金钱收益
- For the purpose of this section, the term “Product Issuer” refers to an entity that either originates the product itself or that procures the product from a third party. 在本部分中, “产品发行人”指自身原创产品或向第三方采购产品的机构。

We may receive the following quantifiable monetary benefits. Such benefits include explicit monetary benefits with respect to the product distribution received by us from Product Issuers and commission, where we act as agent without taking market risk. 我们可能取得以下可量化的金钱收益。此类收益包括我们就分销产品从产品发行人取得的明确金钱收益, 以及我们在不承担市场风险的情况下, 作为经纪从背对背交易中赚取的销售佣金。

Structured Notes and Bonds 结构性票据和债券	Monetary benefit 金钱收益
Structured Notes ( e.g. Linked to underlying equity, currency, Commodities including precious metals, fixed income, interest Rate etc.) 结构性票据 (例如, 与相关股权、货币、商品 包括贵金属)、固定收益、利率等相连	Up to 3% of nominal amount 最高为名义金额的 3%
Bonds (primary market transactions ) 债券 (一级市场交易)	Up to 3% of nominal amount 最高为分配金额的 3%
Bonds (secondary market transactions) 债券 (二级市场交易)	Up to 3% of nominal amount 最高为名义金额的 3%

Unless we explicitly inform you otherwise and rounded up to the nearest whole percentage points 除非我们明确另行通知您, 并调高至最接近的整数百分率

For other products, including primary offerings of ETFs and stocks where monetary benefits are quantifiable, our representative will provide you at or before the point of sale with information on specific monetary benefits received. The Benefits will be disclosed as an actual figure or a percentage ceiling of the investment amount that is rounded up to the nearest whole percentage point. 对于其他可量化金钱收益的产品 (包括交易所交易基金初次发售和股票) 我们的销售代表将在销售前或销售时, 向您提供我们取得的具体金钱收益的数据。此类收益将以实际数值或占投资额的百分率上限 (调高至最接近的整数百分率) 的形式披露。

### 3.2 Generic disclosures on monetary benefits received by us 对于我们获取的金钱收益的概括披露

We may also receive monetary benefits in connection with a transaction that are not quantifiable prior to or at the point of sale which are set out below. These monetary benefits may be received in addition to or instead of the specific monetary benefits described in 3.1 我们还可能取得下述与某项交易的、但无法在销售前或在销售时量化计算的金钱收益。此类金钱收益可能是第 3.1 条中所述的具体金钱收益的附加性或替代性收益。

#### 3.2.1 Funds (including mutual funds, unit trusts, hedge funds and private equity funds) 基金 (包括共同基金、单位信托基金、对冲基金和私募股权基金)

We may receive from Products Issuers, as part of our compensation, one-time or recurring trailer fees, Trailer fees refer to compensation provided by the Product Issuer for investor relationship management services. As trailer fees are calculated based on aggregate holding volumes on specific dates they are not quantifiable at the time of transaction. The Product Issuers may compensate us with trailer fees up to a maximum of 100% of the management fees that they charge to you. 我们可能从产品发行人取得一次性或经常性基金销售佣金, 作为我们的报酬的一部分。基金销售佣金产品发行人为投资者关系管理服务支付的报酬。由于基金销售佣金是指按照具体日期总体持有量计算的, 在交易时无法量化计算。产品发行人支付我们的基金销售佣金最高可达产品发行人向您收取的管理费用的 100%。

#### 3.2.2 Structured Notes 结构性票据

We may receive from Product Issuers as part of our compensation, one-time or recurring holding fees. Holding fees refer to compensation provided by the Product Issuer for placing and continuing to hold the investment products. Holding fees are payable in respect of products with subscription period where the holding fee is paid at certain times after the close of the subscription period. As holding fees are calculated based on aggregate holding volumes on specific dates they are not quantifiable at the point of sale. 我们可能从产品发行人取得一次性或经常性持有费用, 作为我们的报酬的一部分。持有费用指产品发行人为配售和持续持有投资产品支付的报酬。持有费用适用于有认购期的产品, 在认购期结束后支付。由于持有费用是按照具体日期总体持有量计算的, 在销售是无法量化计算。

#### 3.2.3 Bonds , notes and similar paper 债券、票据和类似产品

We may receive from Product Issuers for primary market transactions, as part of our compensation, one –time rebates. These rebates are calculated based on aggregate allocation volume and are not quantifiable at the point of sale. 我们可能就一级市场交易从产品发行人取得一次性回扣, 作为我们的报酬的一部分。此类回扣是按照具体日期总体持有量计算的, 在销售时无法量化计算。

### 4. Non-monetary benefits where we distribute an investment product to you 我们向您分销投资产品获得的非金钱收益

We may receive non-monetary benefits from third party issuers including, but not limited to, access to research materials, free security price quotations, entertainment and invitations to seminars. Such non-monetary benefits received are based on our relationship with the issuers and are not linked to any particular client transaction. 我们可能从第三方发行人取得非金钱收益, 包括但不限于获得研究材料、免费的证券价格报价、招待和研讨会邀请。此类非金钱收益是基于我们与发行人的关系, 与任何特定客户交易无关。

### 5. Occasions when you may receive a discount in relation to fees and charges 您可能有机会获得费用折扣

You may at times be eligible to benefit from a reduction in, or discount on, the fees and charges payable in relation to certain investment products. You will be informed prior to the point of sale of the investment when such a reduction or discount would apply. 您可能有机会获得某项投资产品的费用减免或折扣。当此类减免或折扣适用时, 我们会在销售投资产品前通知您。

### 6. The Chinese translation is for reference only. In case of any discrepancy between the English version and the Chinese version, the English version shall prevail. 本档的中文译本仅供参考之用, 若本档的英文版本与其译本有任何差异或抵触, 概以英文版本为准。

## Customer's Declarations and Signature 客户声明及签署

I/We declare that 本人/吾等声明

1. I/We agree to purchase the Bonds/Notes on the following basis: 本人/吾等同意根据以下各点认购债券/票据:

2. I/We understand and accept that the Bonds/Notes are not capital protected by the Issuer. The Bonds/Notes may be redeemed by delivery amount of the laggard share on the maturity date. The value of the shares delivered will be less, and could be substantially less, than the principal amount of my/our Bonds/Notes. 本人/吾等明白及接纳债券/票据并不获发行人保本。债券/票据或会于到期日以交付表现最落后股份之实物交付股份的价值将少于及可能远少于本人/吾等的债券/票据的本金额。

3. I/We understand that the value of the Bonds/Notes will fluctuate, which may fall or rise, depending on the prevailing market conditions. 本人/吾等明白债券/票据价值可能会非常波动, 并将根据市场情况而定, 可跌亦可升。

4. I/We undertake and agree to accept the Bonds/Notes applied for, or any lesser number (provided such number is not less than the Minimum Investment Amount) allotted to me/us. 本人/吾等明白各股份的开始价及行使价并未厘定, 及将只会在本人/吾等获分配的任何较少数目(但该数目不得少于最低投资金额)的债券/票据。

5. I/We undertake and agree to pay in full the principal amount of the Bonds/Notes allotted to me/us. CMBIS may at its discretion and without reference to me/us determine whether to carry out my/our instructions given herein if my/our account does not have sufficient available fund(s) to pay for the application money. Any cost, charges and losses incurred as a result thereof shall be borne by me/us. 本人/吾等承诺及同意全数支付本人/吾等获分配债券/票据的本金额, 如本人/吾等之户口无足够款项支付申请款项, 贵司有权决定是否执行本人/吾等之认购债券/票据指示而无须知会本人/吾等, 并由本人/吾等承担由此而引致之一切费用, 收费及损失。

6. I/We understand that the Initial Price and Strike Price of each share have not yet been set and will only be set in the manner described in the Issued Prospectus after I/we have committed to purchase the Bonds/Notes. 本人/吾等明白各股份的开始价及行使价并未厘定, 及将只会在本人/吾等已承诺购买债券/票据后发行章程所述的方式厘定。

7.	I/We authorize CMBIS to credit any Bonds/Notes allotted to me/us to my/our account with CMBIS and understand that no certificates of title will be available for my/our Bonds/Notes and my/our interest in the Bonds/Notes will be in book-entry form only. I/We understand that the Bonds/Notes will be held through a clearing system which means I/we will have to rely on CMBIS to credit or debit my/our account with CMBIS with payments credited to it or to be made by me / us to the Issuer through the clearing system and to distribute notices received by CMBIS or to be made by me/us to the Issuer through the clearing system and I/we agree that any coupon and redemption payment to which I/we am/are entitled will be credited to my/our securities account only after CMBIS receives the notification and related payment from the Issuer through clearing system. 本人/吾等授权贵司将本人/吾等获配发之任何债券/票据记入本人/吾等在其开设之账户，并明白本人/吾等之债券/票据将不获发所有权证书，及本人/吾等于债券/票据之权益将只会以账面记录形式入账；并明白债券/票据将透过结算系统持有，意指本人/吾等将须依赖贵司，将其透过结算系统收到之款项或将本人/吾等透过结算系统应付发行之款项分别拨入本人/吾等于贵司之账户或自本人/吾等之账户扣除，及转达其透过结算系统收到之通知或本人/吾等透过结算系统向发行人发出之通知，及本人/吾等同意所有给予本人/吾等之票息及赎回金额将于贵司收受由发行人透过结算系统发出之通知及有关款项后，才入账入本人/吾等之账户内。
8.	I/We agree that if I am/we are not allotted any Bonds/Notes or if my/our application is successful only in part or if the Bonds/Notes are not issued for any reason, the whole or all appropriate portion of the principal amount paid by me/us will be returned to me/us without interest and at my/our own risk and that any interest earned will be retained for the benefit of CMBIS and/or Issuer, as the case may be. 本人/吾等同意如未获分配任何债券/票据，或本人/吾等之申请仅获部份接纳，或产品基于任何原因而并无发行，则本人/吾等支付之全部或适当部份的本金额将不计利息退回本人/吾等，有关风险概由本人/吾等承担，且所有已赚取的利息将拨归贵司及/或发行人所有。
9.	I/We understand and accept that neither the Issuer, the Guarantor, the Arranger, the Placing Agent nor any of its or their affiliates accepts any responsibility for the provision of investment services and custody services by CMBIS or for any consequences of, or arising from the use of, the securities account and account or custody services of CMBIS. I/we agree that none of CMBIS, the Arranger, the Placing Agent, the Guarantor and the Issuer, their respective directors, officers, agents, nominees and affiliates will be liable to any persons in any way for any loss which may be suffered as a result of the sale by CMBIS of my/our Bonds/Notes in accordance with the terms and conditions of the operation of my/our securities account and account with CMBIS. 本人/吾等明白及接纳发行人、担保人、安排人、配售代理或其任何联系人概不就贵司提供之投资服务及托管服务或因使用贵司之证券账户及账户或托管服务引致或产生之后果承担任何责任；并同意贵司、安排人、配售代理、担保人及发行人、彼等各自之董事、高级职员、代理、代名人及联系人概不以任何方式向任何人士因贵司根据本人/吾等在其开设之账户之操作条款及条件出售本人/吾等之产品而可能蒙受之任何损失而负责。
10.	I/We have read and understand the terms and conditions of the Bonds/Notes, application procedures and the confirmations set out in the offering material of the Bonds/Notes and agree to be bounded by them. 本人/吾等已经阅读及明白债券/票据的条款和条件，及认购书所载之申购程序及各确认章节，并同意受其约束。
11.	I/We confirm that I am/we are not located within the United States, and I/we am/are not a U.S. Person within the meaning of Regulation S under the United States Securities Act of 1933 (which includes and person resident in the United States and any partnership or corporation organized or incorporated under the laws of the United States.). 本人/吾等并非身处美国，及并非一九三三年美国证券法 S 条例所规定的意义上的美国人（包括居住在美国的任何人士及根据美国法例组成的任何合伙企业或公司）。
12.	I/we confirm that I/we have not received any assurances from the Company concerning the expected net return on the Bond/Notes, and I/we am/are fully aware of the nature of the Bonds/Notes subscribed and the risks associated with the Bonds/Notes. 本人/吾等没有获得由公司提出有关产品之任何预期净回报保证，及本人/吾等充分了解本人/吾等认购的债券/票据之性质及附带风险。
13.	I/We have read and understand the terms and conditions of Important Notice on Sales Disclosures to Investors, and agree to be governed by them. 本人/吾等已经阅读及明白致投资者的销售披露重要通知之条款和细则，并同意接受该等条款的约束。 I / We agree and authorize CMB International Securities Ltd ("CMBIS") to debit my / our securities account for this Purchase Order (If no enough foreign currency for settlement, CMBIS will exchange my HK\$ balance to the currency needed for settlement). 本人/吾等同意并授权招银国际证券有限公司("招银证券")从本人/吾等之招银证券户口扣除申购款项(若账户内未有足够之外币作结算，招银证券会把账户内港币兑换成需要之外币作结算)。
Signature 客户签署	
Date 日期	

For Official Only 只供内部使用		
Time of Order taken 收件时间		Audio Date and Reference 录音日期及编号
CMBIS licensed staff and Witness staff (if applicable) have already explained the nature, features and risks of and associated the above Product to the Customer(s) and the Witness (if applicable) to ensure the Customer(s) and the Witness (if applicable) fully understand the nature, features and risks of and associated with the above Product(s). 招银证券持牌中介人及见证职员已向客户及其见证人（如有）清楚解释上述产品之性质、主要特点及其相关之风险以确保客户及其见证人完全理解上述产品之性质、主要特点及其相关之风险，		
Full Name of licensed staff 持牌中介人全名	AE No 持牌中介人号码	Signature of licensed staff 持牌中介人签名
For VC transaction Only 容易受损客户交易适用		
Full Name of Witness staff 见证职员全名	AE No 持牌中介人号码	Signature of Witness staff 见证职员签名
Reviewer* and Review Date	(Mismatch Transaction)(If applicable)	
	RO Approval and Date	Compliance Approval and Date
		Trader/Time and Date of Order Executed

\*Reviewer has to ensure the followings  
-completeness of the form  
-accuracy of the form  
-suitability assessment has been properly performed