招銀國際環球市場有限公司
招銀國際證券有限公司
香港中環花園道三號
冠君大廈 45-46 樓
電話：(852)3761-8888

CMB International Global Markets Limited
CMB International Securities Limited
45-46/F, Champion Tower,
3 Garden Road, Central, Hong Kong
Tel：(852)3761-8888

實物股票存入表格 / PHYSICAL SHARES DEPOSIT FORM

致 To:

☐ 招銀國際證券有限公司（“招銀國際證券”） CMB International Securities Limited (CMBIS)

☐ 招銀國際環球市場有限公司（“招銀國際環球”） CMB International Global Markets Limited (CMBIGM)

帳戶號碼
Account Number：_____

帳戶名稱
Name of Client：_____

股票代碼 Stock Code	股票名稱 Stock Name	票據號碼 Certificate Number	數量 Number of Shares

本人/本司，對於上述存入已知悉及清楚明白以下收費及事項。 I/ We, clearly understand and aware the following fees and terms regarding the above deposit:-

1. 本人/本司須在招銀國際證券/招銀國際環球開通港股證券帳戶以存入上述股票。 I/We must open a HK stock trading account with CMBIS/CMBIGM for deposit the above shares.

2. 本人/本司同意招銀國際證券/招銀國際環球网站内存入實物股票的相关費用。 I/We agree to the fees related to physical share deposit as listed in CMBIS/CMBIGM’s website (https://www.cmbi.com.hk/zh-HK/)

3. 一般情況下，存入的香港實物股票需要 12 個工作天予過戶處處理及必須於核實後才可以沽出或轉出。如該股票是屬於無紙化的股票名單，則需要額外 4 個工作天處理後才可沽出或轉出。最終可沽出或轉出時間，仍要視乎 CCASS 的處理情況。Generally, the Share Registrar takes 12 business days to process physical Hong Kong shares verification and those shares can only be sold or transferred after verification. If the stock is on the list of USM securities, an additional 4 business days of processing are required before they can be sold or transferred after verification. The actual sellable date or transfer date is subject to the processing status of CCASS.

4. 本人/本司聲明及同意承擔任何可能因此存入，而引致之爭議、損失、責任及有關風險。在不局限前文的概括性原則下，本人/本司瞭解並進一步同意： (1) 存入需經貴公司/中央結算/過戶處審批及有關指示可被拒絕執行而毋須給予任何理由；及 (2) 審批需時或致致存入延誤。本人/本司同意招銀國際證券/招銀國際環球因上述任何情況而引起對本人/本司之任何損失(如有)概不負責。 I/We hereby declare and undertake to be responsible for all disputes, losses, responsibilities and risks associated with this deposit. Without limiting the generality of the foregoing, I/we acknowledge and further agree that: (1) the deposit shall be subject to approval by CMBIS/CMBIGM/CCASS/Share Registrar and the instruction may be refused without any reason; and (2) the deposit may be delayed due to such approval process. I/We agree that CMBIS/CMBIGM shall not be responsible for any loss, if any, caused to me/us arisen from any of the aforementioned circumstances.

*如閣下對存入香港實物股票有任何疑問，可向閣下的業務經理查詢。Please contact your relationship manager for any queries regarding Hong Kong physical share deposit.

客戶簽署
Client Signature: _____

日期
Date: _____

For Official Use Only			
RM	Back Office		
	S.V.by	Prepare/Input	Check/Approval

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